



# PROJECT PROFILE FORM

[Please keep responses brief and limit each to 200 words]

NAB Project No [completed by NAB]
GIP code/Project No [obtain from DSPPAC]
Cost Centre/Activity No [obtain from Dept.]
Donor/DSPPAC file No [obtain from DSPPAC]

<b>1. Project title:</b> <b>Answering the UNFCCC call for a more ambitious NDC before COP27</b> <b>Enhancing Vanuatu's NDC to raising ambition on Adaptation, Loss &amp; Damage and Gender</b>	
<b>2. Project description:</b>  <p>This project aims to Respond Vanuatu's obligations under para 29 of CMA3 UNFCCC decision, and to the urgent call by UN Secretary General, UNFCCC SG and COP26/COP27 Presidencies for all countries to submit a more ambitious NDC before COP27 to reflect national sectoral priorities and highest-level ambitions on adaptation, mitigation, and loss and damage.</p> <p>Vanuatu's 2021 NDC mitigation component remains the same, while 166 Adaptation Targets and 12 Loss &amp; Damage Targets have been added, based fully on 38 existing sector policies.</p> <p>The expected outcome of this NDC revision is to ensure that Vanuatu's highest level climate priorities of adaptation and loss &amp; damage are reflected, and that Vanuatu's NDC comprehensively addresses all contributions it plans to make to meet the Goals of the Paris Agreement. This will support Vanuatu in its efforts to raise international climate finance, as well as showcase the severity of climate impacts and need for urgent action in support of grassroots communities and islands.</p>	
<b>3. Approval sought:</b> <input type="checkbox"/> Identification* <input type="checkbox"/> Concept <input type="checkbox"/> Funding Proposal <i>*For identification of project, use first page only</i> <input checked="" type="checkbox"/> Continuation of existing project	
<b>4. Funding envelope:</b> [e.g. GCF Readiness, GCF Project Preparatory Facility, GCF/GEF project, other]	
<b>5. Total funding (Vatu and USD):</b> 2.6 million Vatu (already liquidated)	<b>6. Access modality:</b> NA
<b>7. Implementing entity/organisation:</b> Department of Climate Change NAB Secretariat	<b>8. Executing entity/lead government agency:</b> Department of Climate Change NAB Secretariat
<b>9. Other government / partner agencies</b>	<b>10. Project contact details:</b> Mike Waiwai Director, Department of Climate Change <a href="mailto:mwaiwai@vanuatu.gov.vu">mwaiwai@vanuatu.gov.vu</a>
<b>11. Location:</b> National Level	<b>12. Duration:</b> Years ..... months 3 From March 2021 to June 2021
<b>13. Theme(s):</b> <input checked="" type="checkbox"/> Mitigation <input checked="" type="checkbox"/> Adaptation <input checked="" type="checkbox"/> Cross cutting <input type="checkbox"/> DRR / DRM	<b>14. Climate/DRR relevancy (% of budget)</b> <input checked="" type="checkbox"/> High (≥80%) <input type="checkbox"/> Medium (≥50%) <input type="checkbox"/> Low (≥25%) <input type="checkbox"/> Marginal (≥5%)
<b>15. Sector(s) by ministry:</b> <input checked="" type="checkbox"/> Agriculture, livestock, forestry, fisheries and biosecurity <input checked="" type="checkbox"/> Lands and natural resources (geology, mines, water) <input checked="" type="checkbox"/> Climate change adaptation, meteorology, geo-hazards, environment, energy and disaster management <input checked="" type="checkbox"/> Education and training	<b>16. Scope:</b> <input type="checkbox"/> Regional <input checked="" type="checkbox"/> National <input type="checkbox"/> Provincial <input type="checkbox"/> Community
	<b>17. Number of people impacted/affected:</b> <input type="checkbox"/> Direct ..... <input checked="" type="checkbox"/> Indirect full population <input type="checkbox"/> Women ..... <input type="checkbox"/> Youth (<30 years) .....
	<b>18. Project Type:</b> <input type="checkbox"/> Capacity building <input type="checkbox"/> Community awareness

[Information provided on this form will be made publicly available unless otherwise agreed with the NAB Secretariat]

<input checked="" type="checkbox"/> Finance and economic management	<input type="checkbox"/> Disaster response
<input checked="" type="checkbox"/> Foreign affairs, international cooperation and external trade	<input type="checkbox"/> Field implementation
<input checked="" type="checkbox"/> Health	<input type="checkbox"/> Formal education program
<input checked="" type="checkbox"/> Infrastructure and public utilities	<input type="checkbox"/> Funding - small grants
<input checked="" type="checkbox"/> Internal affairs (custom and culture, labour and employment services)	<input type="checkbox"/> Informal training courses
<input checked="" type="checkbox"/> Justice and community services	<input type="checkbox"/> Knowledge communication
<input checked="" type="checkbox"/> Trade, tourism, industry and commerce	<input type="checkbox"/> Pilot / trial / demonstration Project
<input checked="" type="checkbox"/> Youth and sports development	<input type="checkbox"/> Planning and governance
	<input checked="" type="checkbox"/> Policy formulation and integration
	<input type="checkbox"/> Policy support
	<input type="checkbox"/> Research (feasibility study etc.)
	<input type="checkbox"/> Other

**STOP HERE IF PROJECT ONLY AT IDENTIFICATION STAGE**

**CONTINUE FROM HERE ONLY IF PROJECT AT CONCEPT OR FUNDING PROPOSAL STAGE**

### 19. Project rationale:

Vanuatu was one of the first countries to sign and ratify the United Nations Framework Convention on Climate Change (UNFCCC) in 1993 and has completed the development of a robust climate change policy framework<sup>1</sup>. **Given the country's high susceptibility to the impacts of climate change, particular attention is paid domestically to the severe levels of Loss and Damage experienced at all levels and efforts to ramp up climate change Adaptation.**

The Paris Agreement works on a 5- year cycle of increasingly ambitious climate action carried out by countries. By the end of 2020, countries were required submit their plans for climate action known as nationally determined contributions (NDCs). The NDC is arguably the most important climate document that each country is required to produce.

In their NDCs, each country communicates actions it will take to reduce its Greenhouse Gas emissions to reach the goals of the Paris Agreement. The country should also communicate in the NDCs actions they will take to build resilience to adapt to the impacts of rising temperatures, or cope with associated loss and damage.

An NDC was submitted by Vanuatu's UNFCCC Focal Point on 22 March 2021, **although it does not yet include sectors' Adaptation or Loss & Damage priorities.** The submitted NDC focuses on mitigation and includes only 4 adaptation targets, no Loss & Damage targets and does not mention critical issues including gender-sensitive climate development.

It is critical for Vanuatu to maintain is stated stance that Adaptation and Loss & Damage are the nation's highest priorities and have these reflected appropriately in its Nationally Determined Country.

In September 2021, the UNFCCC Secretariat published a review of all NDCs submitted by July of this year. The summary found that nations must urgently redouble their climate efforts if they are to avoid impacts through adaptation and limit warming to 1.5C by the end of the century, with current NDCs actually increasing emissions by 16% by 2030!

In response to this report, UNFCCC Secretariat General Espinosa clarified that Parties can submit NDCs or update already submitted NDCs at any time, especially in the run-up to COP26. "those parties that have already made submissions also have the opportunity to revisit their NDCs to increase their level of ambition in mitigation, adaptation and loss & damage"

Alok Sharma, UK president of COP26, and UN SG Antonio Guterres have made public pleas in the last few days for all countries to resubmit NDCs before COP26. The Climate Vulnerable Forum (CVF), of

which Vanuatu is a member, made a public call for all countries to submit new NDCs at least every year. Today at the AOSIS leaders meeting, 2 Pacific Prime Minister's committed to resubmitting NDCs before COP26, and requested others to do the same.

At COP27, under the Glasgow Pact, CMA3 para 29, all countries are required to revision their NDCs and submit enhancements before the end of 2022.

**FOR ACTION:** Vanuatu has an incredible opportunity right now to submit the completed revised NDC we have worked so hard on in 2021 which keeps word for word all current mitigation targets, acknowledges all partners including UNDP, and now adds adaptation and loss and damage existing sector policy targets (attached here). If Vanuatu were to resubmit, it would set a very strong precedent for all countries, even the most vulnerable countries like Vanuatu, to raise their ambition and take all aspects of the Paris Agreement seriously. This action would take Vanuatu to the forefront of global climate action as it also seeks support for an ICJ Resolution at the UN General Assembly.

## **20. Project objective against the baseline:**

The project aims to incorporate all sector policy commitments on adaptation and mitigation.

Baseline Adaptation Contributions: 4

Target Adaptation Contributions: 116

Baseline Adaptation Cost Estimate: 0

Target Adaptation Cost Estimate: 721,080,000 USD

Baseline Loss & Damage Contributions: 0

Target Loss & Damage Contributions: 12

Baseline Loss & Damage Cost Estimate: 0

Target Loss & Damage Cost Estimate: 177,670,000 USD

## **21. Policy coherence and alignment:**

*Vanuatu Climate Change and Disaster Risk Reduction Policy 2016 – 2030<sup>2</sup>*, states that Vanuatu will take a proactive role in Climate Change and Disaster Risk Reduction negotiations as well as fulfill its obligations under the related Climate Change and disaster international agreements. This involves:

- Advocating and lobbying for increased international support and action for Adaptation and Loss & Damage
- Promoting new and more ambitious actions for Adaptation and Loss & Damage at domestic and subnational levels

Vanuatu's *National Sustainable Development Policy (2016 to 2030)* (NSDP) clearly defines Policy Pillars with Goals and Objectives that have strongly guided the new Ministry's *1<sup>st</sup> Corporate Plan 2016-2018* as well as the *2<sup>nd</sup> Corporate Plan 2019 - 2021*. Similarly, the *Climate Change & Disaster Risk Reduction Policy 2016 – 2030* sets targets for advocating and mainstreaming Climate Change and Disaster Risk Reduction activities not merely across MCCA Departments but in all sector partner agencies at regional, national, provincial level and of course, in our communities.

Moreover, Vanuatu's sectors have been mainstreaming Climate Change targets and policy ambitions over the last 10 years. Currently, there are over 38 Policies, Plans and Strategies developed and published sectors which contain climate targets. These Policies have rarely received sufficient attention

by MoCC or been highlighted sufficiently at the international stage, yet these policies are effecting transformative change and climate resilience at the grassroots level.

**List of Vanuatu Sector Policies, Plans and Strategies containing Climate Change Adaptation and Loss & Damage targets incorporated into the revised and enhanced NDC**

- Agriculture Sector Policy
- Biodiversity Strategy and Action Plan
- Biosecurity Policy
- Climate Change and Disaster Risk Reduction Policy
- Disability Policy and Plan of Action
- Disaster Induced Displacement Policy
- Education Training Sector Strategic Plan
- Environment Policy and Implementation Plan
- Environmental Health Policy
- Fisheries Sector Policy
- Forest Policy
- Framework for Climate Services
- Fruits and Vegetable Strategy
- Gender Equality Policy
- Gudfala Kakae Policy
- Health Cluster Strategic Plan
- Infrastructure Strategic Investment Plan
- Livestock Policy
- Ministry of Health Policy
- National Child Protection Policy
- National Coconut Strategy
- National Energy Roadmap
- National ICT Policy
- National Kava Strategy
- National Roadmap for Coastal Fisheries
- National Sustainable Development Plan
- NSDP M&E Framework
- Oceans Policy
- Overarching Productive Sector Policy
- Vanuatu Recovery Strategy
- Vanuatu REDD+ R Package
- Vanuatu Sustainable Tourism Policy
- Third National Communications to the UNFCCC
- Waste Management and Pollution Control Strategy and Implementation Plan
- Water Strategy
- Vanuatu Agritourism Plan of Action
- Vanuatu Water Policy
- Youth Development Policy

**22. Current status:** *[progress to date and current activities]*

- Consultation and Guidance from NDC Steering Committee
- National NDC Summit held on 8 April with over 50 sector representatives, NGO, private sector and development partners
- Consultation with NAB members one-on-one
- **First Draft revised and enhanced NDC submitted** to NDC Steering Committee and NAB

**23. Market overview:**

NA

**24. Implementing / executing entity background / justification:**

Vanuatu's UNFCCC Focal Point responsible for NDC obligations is Director Mike Waiwai. He is responsible for ensuring that all documents submitted reflect real sector priorities and ambitions. He has been supported in this work by an NDC revision steering committee composed of Mr. Mike Waiwai – Director, Department of Climate Change, Ms. Florence Iautu- Strategic Manager, National Advisory Board on Climate Change & Disaster Risk Reduction, Mr. Nelson Kalo- Mitigation Officer, Department of Climate Change, Ms. Pauliane Basil – Adaptation Officer, Department of Climate Change, Ms. Anne-Marie Robert- Climate Finance Officer, Department of Climate Change, Ms. Diana Hinge- Climate Finance Advisor, National Advisory Board on Climate Change & Disaster Risk Reduction, Mr Ian Iercet- NDC Coordinator Mitigation, Department of Climate Change, Ms. Leana William- MEA Officer, National Advisory Board on Climate Change & Disaster Risk Reduction.

**25. Institutional / implementation arrangements:**

The NDC will be implemented by sectors themselves, using recurrent sector budgets. The NDC makes special note that achieving each target is conditional upon receiving external international climate finance.

The department of climate change and NAB will ensure that the NDC reporting process is fully in-line with and complementary to the existing NSDP M&E reporting process through departmental 6-monthly and annual reports. No additional M&E burden is expected.

All external consultants will report directly to the NDC Steering Committee in their work and outputs.

**26. Results Areas [GCF projects only]**

Reduced emissions from (mitigation):

- Energy access and power generation
- Low emission transport
- Buildings, cities, industries & appliances
- Forestry and land use

Increased resilience of (adaptation):

- Most vulnerable people and communities
- Health/well-being, & food/water security
- Infrastructure and built environment
- Ecosystems and ecosystem services

**27. Expected performance against investment criteria [GCF projects only]**

NA- Not a GCF project

**28. Consultation**

A major NDC Summit was held on 8 April with over 50 participants to validate sector policy priorities included, as well as financial envelopes and conditionality for each of 116 targets.

After the summit, more policies were included as well as additional 12 targets.

Heads of departments and NAB members then revalidated contributions during one-on-one consultations.

**29. Potential overlaps / duplication to be resolved:**

UNDP provided technical and financial support to develop current NDC submitted in March.

GIZ and the NDC Hub provided technical and financial support to develop Adaptation and Loss & Damage targets.

Rather than duplicate or overlap, the new draft NDC seamlessly integrates both documents and gives full acknowledgement to both.

**30. Technical feasibility/evaluation:**

Very simple to implement. A local consultant was hired to undertake consultations and consolidate mitigation, adaptation and loss & damage targets.

Now that draft is complete, no further technical or human resource inputs are required.

**31. Economic and financial analysis/viability:**

No economic outcomes, other than the total projected climate finance needs for full achievement of mitigation, adaptation and loss & damage targets is USD 1,072,350,000.

**32. Financial management and procurement:**

NA.

<b>33. Environmental and social considerations:</b> NA		
<b>34. Gender and social inclusion considerations:</b> This new revised and enhanced NDC includes special policy targets on gender, disability, indigenous peoples, children and youth. The submitted NDC does not mention any of these groups or include climate actions targets for them.		
<b>35. Monitoring, reporting and evaluation:</b> The revised and enhanced NDC will be monitored by the National Advisory Board (NAB) of Climate Change overall, but each target will be monitored by the sector agency itself, as these are part of existing sector policy.  Importantly, each Adaptation and Loss & Damage target has been mapped against the NSDP to ensure that 6-monthly and Annual Reports of Ministries also captures progress of the NDC.  No negative social impact is anticipated as the Cooperation will provide for necessary arrangements towards addressing multiple climatic hazards faced by communities.		
<b>36. Sustainability measures:</b> By fully including adaptation and loss & damage in the revised and enhanced NDC, it is highly likely that new and additional finance for sector implementation will result. The NDC is the primary document by which donors and development partners decide what climate change programming to fund. It is expected that this revision will result in more sustainable outcomes and the possibility the sectors will receive the financial and technical support they need for implementation. Because all targets are conditional, there is no pressure or expectation that the NDC will be fully implemented unless all costs are received from developed country parties or other international donor sources.		
<b>37. Supporting documents</b> <i>[where applicable]</i>		
<input checked="" type="checkbox"/> Budget template <i>[mandatory]</i>	<input checked="" type="checkbox"/> Risk assessment <i>[mandatory]</i>	<input type="checkbox"/> Logical framework
<input type="checkbox"/> Concept note	<input type="checkbox"/> Funding proposal	<input type="checkbox"/> Financial analysis
<input type="checkbox"/> Environmental analysis	<input type="checkbox"/> Project timetable	<input type="checkbox"/> Letter of support
<input type="checkbox"/> Consultation evidence	<input type="checkbox"/> Location map <i>[detailed plans where construction is involved]</i>	
<b>38. Provincial consultation certification by implementing/executing entity</b> <i>I certify that the Province has been consulted with and the project is consistent with the Provincial Governments Provincial Plan. I also confirm that I am not aware of any ongoing disputes or disagreements that may adversely impact on the implementation of the project. A letter of support is attached.</i>		
<b>Name</b>	<b>Signature</b>	<b>Date</b>
<b>39. Director of Lead Government Agency</b> <i>I certify I have checked the project profile, and any other supporting information for screening this project. I am satisfied that this project proposal is ready for presentation for approval.</i>		
<b>Name</b>	<b>Signature</b>	<b>Date</b>
<b>40. DSPPAC Sectoral Specialist sign off</b> <i>I certify I have checked the project profile, and any other supporting information for screening this project. I am satisfied that this project proposal is ready for presentation for approval.</i>		
<b>Name</b>	<b>Signature</b>	<b>Date</b>
<b>41. Director General's Certification</b>		

*I certify that I have checked the project profile, and any other supporting information for screening this project. I am satisfied that this project proposal is ready for presentation for approval. I understand that no Government funding will be released for the project until the project has been approved by the appropriate government authorities, any additional government contribution has been appropriated, the approved donor funding has been released and a detailed project income and expenditure form has been submitted.*

**Name**

**Signature**

**Date**

**42. Logical framework (objectives, impacts, outcomes, outputs, activities and inputs) \***

**Objective** *[The theory of change represents the long-term vision of the project (adaptation, mitigation or disaster risk reduction) and how this can be achieved through short-, medium- and long-term changes]*

<i>[Adaptation, mitigation, disaster risk reduction]</i>	<i>[Elaborate on the objectives to which the project contributes] [For GCF projects a shift to low-emission sustainable development pathways, or increased climate-resilient sustainable development]</i>
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Expected Result	Indicator	Means of Verification	Baseline	Target		Assumptions
				Mid-term	Final	

**Impacts** (that contribute to the objective)

<i>Vanuatu will demonstrate its highest level ambition on adaptation and loss and damage in its revised and enhanced NDC, yielding more finance and additional implementation support</i>	Number of new donor-funded projects resulting from the revised NDC	NAB project endorsements from 2022-2030	Currently ~15 project per year	20 projects per year	30 projects per year	By capturing adaptation and loss & damage in the NDC, there will be greater donor interest in these activities in Vanuatu
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**Project outcomes** (that contribute to impacts)

<i>Adaptation and Loss and Damage priorities from sectors are well-known at the international level</i>	<i>Amount of climate finance mobilized</i>	NAB project endorsements	Currently ~5 million USD/year	10 million USD/year	20 million USD/year	By capturing adaptation and loss & damage in the NDC, there will be greater donor interest in these activities in Vanuatu
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**Project outputs** (that contribute to outcomes)

1. 116 Adaptation Targets, with conditionality and financial envelope included in NDC 2. 12 Loss & Damage Targets with conditionality and financial envelope include in NDC	<i># of targets in adaptation and loss &amp; damage</i>	Revised NDC document	4: Adapt 0: L&D		116: Adapt 12: L&D	It is possible that more sector targets will be introduced during NAB approval of document.
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Activities	Description	Inputs	Description
1.1 Finalize NDC endorsement	<b>NDC Steering</b>	1.1.1. Draft revised and enhanced NDC (completed)	<i>[Expand tables as needed]</i>

	<b>Committee final recommendations on 25/5/21</b>		
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*\*please use this format only if there is not a mandatory format required by the implementing/executing entity*

#### 43. Project budget summary (estimated in Vatu '000)

Items/component	Year 1	Year 2	Year 3	Year 4	Year 5	Total	% of Total
Loans <i>[specify source]</i>							
Grants							
Aid in kind: Pacific NDC Hub	2,500						100
Government of Vanuatu							
Other e.g. GCF, community							
<i>expand table as needed</i>							
<b>Total</b>	<b>2,500</b>						<b>100%</b>

*\* contributions made directly towards projects realisation such as equipment, materials, labour, T/A, building works, vehicles, time etc. and other quantifiable resources that count towards the achievement of the project results*

#### 44. Project component costs (estimated in Vatu '000)

Items/component	Year 1	Year 2	Year 3	Year 4	Year 5	Total	% of Total
Equipment/materials							
Personnel/staff/labour							
- e.g. technical assistance	2,500						100
- e.g. local salaries							
Training/workshops etc.							
Travel							
<i>expand table as needed</i>							
<b>Total</b>	<b>2,500</b>						<b>100%</b>

*This is for the main project components only. Not every cost needs to be specified. Other examples of components might be accommodation, vehicles, fuel, freight, allowances, VNPF contributions, telephone, computers, stationery, an implementing entity administrative fee (if included as part of the loan/grant), project monitoring, contracts, rent, printing, overheads etc.*

#### 45. Project risk factors, mitigation measures, and assessment tool\*

*[Use tool to describe the financial, technical/operational, social/environmental and other risks that may prevent the project objectives from being achieved, and proposed risk mitigation measures.]*

<b>Selected Risk Factor 1</b>				
Description	Risk category	Level of impact	Probability	Score
<i>There is some concern that Vanuatu should not submit a revised and enhanced NDC in 2021</i>	Operational	<i>medium 5-20%,</i>	medium	4
<b>Mitigation Measure(s)</b>				
<p><i>The current NDC is insufficient as it does not include any mention of gender, and adaptation/ loss &amp; damage contributions do not match Vanuatu's CCDRR policy priorities. It is very important that the NDC is updated in 2021 before COP26 so that Vanuatu has a moral and policy platform on which to negotiate more ambition in finance, adaptation and loss &amp; damage.</i></p> <p><i>This work should not be seen as duplication, but rather a synthesis of outstanding work by UNDP and GIZ, but brings everything together into a document that reflects Vanuatu's true contributions to meeting the 3 Pillars of the Paris Agreement .</i></p>				

*[Information provided on this form will be made publicly available unless otherwise agreed with the NAB Secretariat]*

Total score (add all the scores and divide by the total number of risk factors)	4
NA	

\*These are project related risks, not broader, general, global climatic and environment risks

	Probability	Low	Medium	High	Multiply the impact of each risk factor by the probability of each risk factor to give an individual risk factor score. Then add all the individual risk factor scores and divide by the number of risk factors to give an overall project risk score.
Impact	Score	(1)	(2)	(3)	
Low	(1)	1	2	3	
Medium	(2)	2	4	6	
High	(3)	3	6	9	

Key 1 Negligible 2 Minor 3 Moderate 4 Major 6 Severe 9 Extreme

#### History of the document

Version	Date	Nature of revision
1.0	NAB Meeting 9 February 2018	Initial endorsement