

# PROJECT PROFILE **FORM**

[Please keep responses brief and limit each to 200 words]

NAB Project № [completed by NAB] GIP code/Project № [obtain from DSPPAC] Cost Centre/Activity № [obtain from Dept.] Donor/DSPPAC file № [obtain from DSPPAC]

- 1. Project title: "Strengthening capacity in the Energy, Agriculture, Forestry, and other Land-use Sectors for Enhanced Transparency in the Implementation and Monitoring of Vanuatu's Nationally **Determined Contribution**"
- 2. Project description: [Brief description of project, key activities and outputs, how will it be implemented?]

The Paris Agreement was adopted by consensus on 12 December 2015, during the 21st session of Conference of the Parties (COP 21) to the United Nations Framework Convention on Climate Change (UNFCCC) and entered into force on 4 November 2016. This landmark agreement aims to strengthen the global response to the threat of climate change and requires all Parties to establish robust measuring, reporting, and verification (MRV) systems and to communicate their efforts to UNFCCC. In this context, on 22-Jul-21, GEF approved a project identification form (PIF) and project preparation grant (PPG) submitted by FAO titled 'Strengthening capacity in the Energy, Agriculture, Forestry, and other Land-use Sectors for Enhanced Transparency in the Implementation and Monitoring of Vanuatu's Nationally Determined Contribution'

The project aims to develop Vanuatu's institutional and human capacities for complying with Enhanced Transparency Framework (ETF) reporting requirements of the Paris Agreement, and implementation and monitoring of Vanuatu's Nationally Determined Contribution focusing on Energy, Agriculture, Forestry and other Land-use Sectors. With the MOCC Department of Environment Protection and Conservation (DEPC) of Vanuatu & Department of Climate Change as executing partner, and CBIT as resource partner, the GEF-7 has entrusted FAO for the preparation and implementation of the project.

The project will be executed under the following components and outcomes:

Project Component 1: Strengthening Vanuatu's institutional arrangements for a robust MRV system for NDC climate change mitigation and adaptation actions.

## Outcomes of Project Component 1:

- 1.1 NDC transparency system in place following the UNFCCC modalities, procedures, and guidelines.
- 1.2 Key Ministries, Agencies, Departments, and other stakeholder's capacity strengthened to track the NDC actions. Outputs of Project Component 1:
- 1.1.1 Institutional arrangements strengthened.
- 1.1.2. Sectoral transparency guidelines and protocols are established for enhanced NDC climate change mitigation, adaptation, and addressing L&D for observed and potential climate change impacts (e.g. extreme weather events and slow onset events).
- 1.1. 3 Established operational quality control and assurance mechanism.
- 1.2.1 Strengthened guidelines on monitoring and reporting of climate financing focusing on domestic and international sources, and both public and private sector.
- 1.2.2 Strengthened capacity of national climate changes stakeholders to track the enhanced NDC adaptation actions.

Project Component 2: Provision of an online platform, tools, and training for a robust MRV system focusing on Energy, Agriculture, Forestry, and other Land-use Sectors.

#### Outcomes of Project Component 2:

2.1 Operational digital data integration and sharing system for a robust MRV system hosted by DEPC.

## Outputs of Project Component 2:

- 2.1.1 Upgraded Information Technology (IT) system for a robust MRV system hosted by MOCC based on the existing
- 2.1.2 Strengthened human capacity on GHG emissions and removal tools, and climate vulnerability assessment through training and peer to peer learning.

The project will be implemented by FAO and executed by the Ministry of Climate Change and the Ministry of Agriculture (MALFFB).

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3.	Approval sought:	✓ Identification*	Concept	☐ Funding Proposal	
	*For identification of p	roject, use first page	only <a> Continuation</a>	of existing project	
_	Information provided on t	his form will he made nu	phlich available unless of	herwise gareed with the NAR Secretariat	1

4. Funding envelope: [e.g. GCF Readiness, 0	GCF Project Preparatory Facility, GCF/GEF project, other]
GEF full project proposal/document	
5. Total funding (Vatu and USD):	6. Access modality: [i.e. direct by using the
VUV127,480,450 US1,137,215	Government's financial system, international by using an external financial system, or a combination?]
	Use of combination of methods
7. Implementing entity/organisation: [the name of the national, regional or multilateral institution that is receiving direct financial transfers to carry out the project. An implementing entity may also carry out the functions of an executing entity.]	8. Executing entity/lead government agency: [the name of the entity, e.g. Ministry / Department / Agency / NGO, through which funds are channelled or used for the purposes of an activity or part thereof, and/or any entity that executes, carries out or implements a funded activity, or any part thereof.
Food and Agriculture Organization (FAO)	Ministry of Climate Change and the Ministry of Agriculture.
9. Other government / partner agencies	Project contact details: [Contact person, title, organisation, email, telephone, address]
Ministry of Land and Natural Resources,	Mr. Graham Nimoho, FAO Vanuatu Representative Mr. Ricardo DominguezLlosa – Chief Technical Advisor of FAO GEF Projects
Location: [e.g. village, island, province, and GPS coordinates if available]  Activities to be implemented mainly in Port Vila	12. Duration: Years3 months0 From June 2022 to May 2025
13. Theme(s):	14. Climate/DRR relevancy (% of budget)
☐ Mitigation ☐ Adaptation	
☑ Cross cutting □ DRR / DRM	□ Low (≥25%) □ Marginal (≥5%)
15. Sector(s) by ministry:	16. Scope:
	☐ Regional ⊠ National
fisheries and biosecurity	☐ Provincial ☐ Community
∠ Lands and natural resources (geology,     ∠ Natural resources)	17. Number of people impacted/affected:
mines, water)	☐ Direct 50 ☐ Indirect 100
Climate change adaptation, meteorology, geo-hazards,	☐ Women 40 ☐ Youth (<30 years) 20
environment, energy and disaster	18. Project Type:
management	□ Capacity building     □ Community awareness
☐ Education and training	<ul><li>☐ Community awareness</li><li>☐ Disaster response</li></ul>
Finance and economic management	Field implementation
<ul> <li>Foreign affairs, international</li> </ul>	Formal education program
cooperation and external trade	Funding - small grants
Health	☐ Informal training courses
Infrastructure and public utilities	☐ Knowledge communication
Internal affairs (custom and culture,	□ Pilot / trial / demonstration Project
labour and employment services)  Justice and community services	☐ Planning and governance
☐ Trade, tourism, industry and	□ Policy formulation and integration
commerce	□ Policy support
☐ Youth and sports development	Research (feasibility study etc.)

Other
STOP HERE IF PROJECT ONLY AT IDENTIFICATION STAGE

#### CONTINUE FROM HERE ONLY IF PROJECT AT CONCEPT OR FUNDING PROPOSAL STAGE

**19. Project rationale:** [What is the rationale for the project? What is its strategic context? What is the primary need, and how was it identified? Is this program building on any previous activities, projects or policy?]

The project aims to develop Vanuatu's institutional and human capacities for complying with Enhanced Transparency Framework (ETF) reporting requirements of the Paris Agreement, and implementation and monitoring of Vanuatu's Nationally Determined Contribution focusing on Energy, Agriculture, Forestry and other Land-use Sectors. With the MOCC Department of Environment Protection and Conservation (DEPC) of Vanuatu & Department of Climate Change as executing partner, and CBIT as resource partner, the GEF-7 has entrusted FAO for the preparation and implementation of the project

20. Project objective against the baseline: [What is the objective of the project? Describe the baseline scenario (i.e. emissions baseline, climate vulnerability baseline, key barriers, challenges and/or policies) and the outcomes and the impact that the project will aim to achieve in improving the baseline scenario. Refer to the logical framework and theory of change template below]

To develop Vanuatu's institutional and human capacities for complying with Enhanced Transparency Framework (ETF) reporting requirements of the Paris Agreement, and implementation and monitoring of Vanuatu's Nationally Determined Contribution focusing on Energy, Agriculture, Forestry and other Land-use Sectors.

21. Policy coherence and alignment: [provide details as to how the project aligns with the National Sustainable Development Plan (pillar, goal and objective), the Climate Change and Disaster Risk Reduction Policy, and other policies, plans, strategies and priorities]

Type	Legislation, Policies and Plans					
Overarching instruments	Constitution of Vanuatu					
	National Sustainable Development Plan 2016-2030, the "People's Plan"					
	(overarching national plan) Soc 6, ENV 1-5, ECO 1-3.					
	Priorities and Action Agenda (PAA) 2006 – 2015					
Climate change adaptation and	Meteorology, Geo-hazards and Climate Change Act 2016					
disaster risk reduction	National Disaster Act 2006 and National Disaster Risk Management Bill 2016					
	Disaster Risk Reduction and Disaster Management National Action Plan 2006 2016					
	Vanuatu Climate Change Disaster Risk Reduction Policy 2016-2030					
	Vanuatu National Adaptation Plan for Action (NAPA) 2007					
	National Climate Change Adaptation Strategy for Land-Based Resources (2012 – 2022)					
	National Policy on Climate Change and Disaster-Induced Displacement (2018)					
Land, planning and environment	Customary Land Management Act 2013					
	Land Sector Framework 2009-2018					
	National Land Use Planning and Zoning Policy 2013					
	Vanuatu National Environment Policy and Implementation Plan 2016 – 2030					
	Draft Greater Port Vila Plan and Strategy for Efate					
	Environmental Management and Conservation Act 2002					
	National Energy Road Map (NERM) 2013-2020					
	NAMA on Rural Electrification					
	National Green Energy Fund (NGEF) 2016					
	Renewable Energy Electrification Master Plan 2016					
Infrastructure	Vanuatu Infrastructure Strategic Investment Plan 2015-2024					
WASH	National Water Strategy 2008-2018					
	National Water Strategy 2018-2030					
Food, agriculture and livelihoods	Vanuatu National Plan of Action on Food and Nutrition Security 2013-2015					
	Vanuatu National Gudfala Kakae Policy 2017-2030					
	Vanuatu Agriculture Sector Policy 2015-2030					
	Overarching Productive Sector Policy					
	National Forest Policy 1997, 2011-2020, 2013-2023					
	Reduced Emissions from Deforestation and forest Degradation (REDD+) national					
	program					
	Forest landscape Strategy (2020)					

Commented [1]: To include:
\*Goodfala Kakay Policy (2019)
\*Forest landscape Strategy (2020)
\*National Roadmap for artisanal Fisheries

Commented [2R1]: Added

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National Livestock Policy National Fisheries Policy

	Vanuatu Nation:	al Roadmap for Coastal Fisheries: 2019–2030	
	Vanuatu Oceans	^	
Education		ion Sector Strategy 2007-2016	
Health		Strategy 2010-2016	
		Plan for Climate Change Adaptation and Disaster Risk Manag	ement,
	2016 - 2020	• •	
Gender	National Gender	Equality Policy 2015-2019	
Child protection	National Child F	Protection Policy 2016-2026	
Disability	National Disabil	ity Policy and Plan of Action 2008-2015	
22. Current status: [progress	to date and current ac	etivities]	
Project document/proposal has t	een submitted for app	oroval.	
historical data and forecasts Also provide, if any, pricing s	. If applicable, provide tructures, price contro	ticular market describe the products or services included the key competitors with market shares and custome sls, subsidies available and government involvement.]	
The project will not involve devel			
		nd / justification: [Quality of the management team, gement, operations, production and marketing]	overaii
strategy, financial profile, eq	iny irivestrient, mana	gement, operations, production and marketing	
decades, implementing program Framework (CPF) for Vanuatu th the biodiversity conservation and GEF 6 in Vanuatu, with the Depa	nes in areas of food ( rough Technical Coop restoration and clima rtment of Environmen	Inited Nations (FAO) have been working in Vanuatu for Sectors under MALFFB), implemented Country Progran beration (TCPs) and projects. Recently FAO has move te change, in which it has implements (ed) GEF 4, GEF t Protection and Conservation. This project is the first in /anuatu through the Department of Climate Change.	nme ed into 5 and
others in the process of approva	. FAO have also grov	projects for Vanuatu, some currently implemented as work in national work force, from one person (Vanuatu FA therefore has the capacity to continue to assist Vanuatu	O rep)
Other partners of the CBIT proje	at are Ministries for the	e Government of Vanuatu.	
roles and responsibilities of t	he project manageme upervision methodolo	S: [Governance structure of the project, organisation st nt unit, steering committee, executing entities and flow of gy with key contractual agreements, operational arrang pletion of construction]	of funds
executing specific activities of the comprising of the Directors of Go	project. A Project Ma vernment Department executing agencies eit	hile the Government of Vanuatu will be the executing ag inagement/Steering Community for the project will be ins s directly involved in the project. Project funds will be m her through Letters of Agreements or direct procurements O PMU.	stituted, anaged
26. Results Areas [GCF proje			
Reduced emissions from	/ 1.1 · · ·	Increased resilience of (adaptation):	
<ul> <li>Energy access and power</li> </ul>	r generation	☐ Most vulnerable people and communities	
<ul><li>Low emission transport</li></ul>		☐ Health/well-being, & food/water security	
<ul> <li>Buildings, cities, industrie</li> </ul>	s & appliances	☐ Infrastructure and built environment	
☐ Forestry and land use		□ Ecosystems and ecosystem services	
· · · · · · · · · · · · · · · · · · ·	gainst investment	criteria [GCF projects only] [brief description]	
_	_	ct to contribute to the achievement of the GCF's objective	es and
		hich the proposed activity can catalyse impact beyond	a one-

c)

d)

gender-sensitive development impact]

Sustainable Development Potential: [Environmental, social and economic co-benefits, including

Needs of the Recipient: [Vulnerability and financing needs of the beneficiary country and population]

e)	Country Ownership: [Beneficiary country's ownership of, and capacity to implement, a funded project]
f)	Efficiency and Effectiveness: [Economic and financial soundness of the project]
٠,	Emoletics and Emoletic files of the manifest countries of the project,
	ultation [Specify the plan for multi-stakeholder engagement, and what is been done so far in this regard, ational, Provincial, Community, Civil Society, Private Sector]
one-on-one	ons on the project has been completed. This was done through an inception workshop in 2021, followed by e consultations with Government, private sector, NGOs and civil society. This was followed by the validation in early 2022. All workshops were held in Port Vila.
29. Poten	ntial overlaps / duplication to be resolved: [What related projects are being undertaken in the
area?]	
The projec	et will not come into overlap or duplicate any of existing programs.
staff? I	nical feasibility/evaluation: [Brief summary of technical feasibility of project. Will the project fund local If so, where? Are there additional staff required (e.g. counterparts, proposed T/A Positions)? TOR must be ed for all T/A positions.
Sectors for I	t is technically feasible, aiming at Strengthening capacity in the Energy, Agriculture, Forestry, and other Land-use Enhanced Transparency in the Implementation and Monitoring of Vanuatu's Nationally Determined Contribution.
	will be hiring a National Project Coordinator to coordinate the project.
project	omic and financial analysis/viability: [Brief summary of the economic and financial viability of the t]
The projec	et will be financed by the Capacity Building Initiative for Transparency, under the Paris Agreement.
	icial management and procurement: [Describe the project's financial management and procurement, ing financial accounting, disbursement methods and auditing]
	et funds will be managed by FAO, and procurements will be made directly by FAO or by the executing under LoAs.
	onmental and social considerations: [e.g. environmental and social impact safeguards / sments, vulnerability framework]
areas.	ct will not have any negative environmental and social impacts, but it will improve knowledge in these
	er and social inclusion considerations: [e.g. gender, disability, indigenous concerns, assessment of enefits from project to women, youth, children and vulnerable groups]
Gender se project;	ensitive considerations have been included in the project. The two paragraphs below are activities from the
-	2.2.2 Designing and implementing a gender-sensitive training program involving relevant institutions at different omitor enhanced NDC priority adaptation actions.
	2.1 Designing and implementation of gender-sensitive training on data collection, storage, and management; GHG and removal estimation and reporting; and transparency elements.
specifi	toring, reporting and evaluation: [How will the project be monitored and evaluated? Provide project ic institutional and implementation arrangements for monitoring, reporting and evaluation. Provide dologies for monitoring and reporting of the key outcomes of the project]
36. Susta Vanua	Monitoring and Evaluation Specialist will be developing the M&E framework for the project.  Alinability measures: [Exit strategy/ how will the project be sustained after project funding? What is the tutu Government funding source? What is the future maintenance requirement? What are the future recurrent implications for the Government?
	et aims mainly at building the capacity for NDC. Once the system is established then Vanuatu can continue system for future reporting.
	orting documents [where applicable]
	udget template [mandatory] Risk assessment [mandatory] Logical framework
☐ Cc	oncept note   Funding proposal   Financial analysis
[Informat	tion provided on this form will be made publicly available unless otherwise agreed with the NAB Secretariat]

Environment	•	Project tin				of support	
Consultation	evidence	Location r	map [detailed pla	detailed plans where construction is involved]			d]
20 Dravingial can	aultation conti	fication by implem	antinalayaaut	ing ontitu			
38. Provincial cons		been consulted w			noioton	t with the Di	rovinoial
Covernments 5	Province nas	. I also confirm t	nun anu me pro	ojeci is coi	isisteri	i Willi lile Pi	OVINCIAI
•	nat may adve	rsely impact on the	impiementatio	n of the pr	oject. A	4 letter of su	pport is
attached.							
Name		Signature			Date		
39. Director of Lea							
I certify I have ch	ecked the proj	ect profile, and any	other supporting	g informatic	n for so	creening this	project.
I am satisfied tha	at this project μ	proposal is ready for	r presentation f	or approval			
Name		Signature			Date		
40. DSPPAC Secto	ral Specialist	sign off					
		ect profile, and any	other supporting	g informatio	n for s	creening this	project.
		proposal is ready for				<b>. .</b>	, ., .,
r am cationed the	at 11110 project p	ropodario roday roi	procontation	от арртотат			
Nama		Cianatura			Data		
Name	-!!- O:!!!!	Signature			Date		
41. Director Gener							
		e project profile, an					
		project proposal is					
		e released for the					
		rities, any additional					
approved donor	funding has b	een released and	a detailed proje	ect income	and e.	xpenditure fo	orm has
been submitted.	J		, ,			•	
Name		Signature			Date		
		J. J					
42. Logical frame	work (objectiv	es impacts outco	omes, outputs	activities	and in	nuts) *	
Objective [The theory							tor
risk reduction) and ho						gation or disas	101
						araiaata a ahif	t to
[Adaptation,		the objectives to whic					
mitigation, disaster		sustainable develo	oment patnway	s, or incr	easea	ciimate-resiii	ent
risk reduction]	sustainable de	evelopmentj					
						T	
Expected Result	Indicator	Means of Verification	on Baseline	Targe		Assumption	าร
<u> </u>				Mid-term	Final	•	
Impacts (that contribu	ute to the object	ive)					
[For GCF projects							
refer to the							
performance							
measurement							
framework]							
Project outcomes (th	nat contribute to	impacts)	<u>'</u>	'			
•		. ,					
Duning 1 1 1 1 1							
Project outputs (that	contribute to or	utcomes)					
1.	contribute to or	utcomes)					
	contribute to or	utcomes)					

\*please use this format only if there is not a mandatory format required by the implementing/executing entity [Information provided on this form will be made publicly available unless otherwise agreed with the NAB Secretariat]
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[Expand tables as needed]

1.1.1.

1.1

43. Project budget summary (estimated in Vatu '000)

Items/component	Year 1	Year 2	Year 3	Year 4	Year 5	Total	% of Total
Loans [specify source]							
Grants [specify source]							
Aid in kind*							
Government of Vanuatu							
Other e.g. GCF, community							
expand table as needed							
Total							100%

<sup>\*</sup> contributions made directly towards projects realisation such as equipment, materials, labour, T/A, building works, vehicles, time etc. and other quantifiable resources that count towards the achievement of the project results

44. Project component costs (estimated in Vatu '000)

Items/component	Year 1	Year 2	Year 3	Year 4	Year 5	Total	% of Total
Equipment/materials							
Personnel/staff/labour	ersonnel/staff/labour						
- e.g. technical assistance							
- e.g. local salaries							
Training/workshops etc.							
Travel							
expand table as needed							
Total							100%

This is for the main project components only. Not every cost needs to be specified. Other examples of components might be accommodation, vehicles, fuel, freight, allowances, VNPF contributions, telephone, computers, stationery, an implementing entity administrative fee (if included as part of the loan/grant), project monitoring, contracts, rent, printing, overheads etc.

## 45. Project risk factors, mitigation measures, and assessment tool\*

[Use tool to describe the financial, technical/operational, social/environmental and other risks that may prevent the project objectives from being achieved, and proposed risk mitigation measures.]

Selected Risk Factor 1				
Description	Risk category	Level of impact	Probability	Score
[Description of risk factor, e.g. new government regulations, loss of key staff, delays in delivery of equipment etc.]	Select [financial, technical/operational, social/environmental, other]	Select [low <5% of project value, medium 5-20%, high >20%]	Select [low, medium, high]	
Mitigation Measure(s)	ourerj	111g11 >20%]		
[Describe how the identified risk will be min of risk occurring? If so, to what level?]  Selected Risk Factor 2	tigated or managed. Do ti	ne mitigation measu	res lower the pro	obability
Description	Risk category	Level of impact	Probability	Score
,	Select	Select	Select	
Mitigation Measure(s)				
Selected Risk Factor 3				
Description	Risk category	Level of impact	Probability	Score
	Select	Select	Select	
Mitigation Measure(s)				

Selected Risk Factor 4				
Description	Risk category	Level of impact	Probability	Score
	Select	Select	Select	
Mitigation Measure(s)	·			
[Expand table as needed]				

Total score (add all the scores and divide by the total number of risk factors)

[Describe other potential issues which will be monitored as "emerging risks" during the life of the project (i.e. issues that have not yet raised to the level of "risk factor" but which will need monitoring). This could include issues related to external stakeholders such as project beneficiaries or the pool of potential contractors.]

\*These are project related risks, not broader, general, global climatic and environment risks

	Probability	Low	Medium	High
Impact	Score	(1)	(2)	(3)
Low	(1)	1	2	3
Medium	(2)	2	4	6
High	(3)	3	6	9

Multiply the impact of each risk factor by the probability of each risk factor to give an individual risk factor score. Then add all the individual risk factor scores and divide by the number of risk factors to give an overall project risk score.

Key 1 Negligible 2 Minor 3 Moderate 4 Major 6 Severe 9 Extreme

#### History of the document

Version	Date	Nature of revision
1.0	NAB Meeting 9 February 2022	Initial endorsement